

## Solution Sheet 1

**Exercise 1.** (for credit, due on 21 September) Prove that the Riemann surface  $\mathbb{C}/\mathbb{Z}$  is biholomorphic to  $\mathbb{C}\setminus\{0\}$ .

**Solution 1.** Let  $\pi : \mathbb{C} \rightarrow \mathbb{C}/\mathbb{Z}$  be the quotient map and define

$$f : \mathbb{C} \rightarrow \mathbb{C}\setminus\{0\}, \quad f(z) = e^{2\pi iz}.$$

Because  $f(z+n) = f(z)$  for all  $z \in \mathbb{C}$  and  $n \in \mathbb{Z}$ ,  $f$  is constant on  $\mathbb{Z}$ -orbits. Thus  $f$  induces a continuous map

$$\bar{f} : \mathbb{C}/\mathbb{Z} \rightarrow \mathbb{C}\setminus\{0\}, \quad \bar{f}([z]) = e^{2\pi iz},$$

with  $f = \bar{f} \circ \pi$ . We explicitly check that the map  $\bar{f}$  is holomorphic. Fix  $[z_0] \in \mathbb{C}/\mathbb{Z}$  and choose a disk  $U = D(z_0, r) \subset \mathbb{C}$  with  $0 < r < \frac{1}{2}$ . Then the translates  $U+n$  are pairwise disjoint, so  $V = \pi(U)$  is open and the restriction  $\pi|_U : U \rightarrow V$  is a biholomorphism. Hence on  $V$  we can write  $\bar{f} = f \circ (\pi|_U)^{-1}$  as a composition of holomorphic maps, thus  $\bar{f}$  is holomorphic. As  $[z_0]$  was arbitrary,  $\bar{f}$  is holomorphic on all of  $\mathbb{C}/\mathbb{Z}$ . The map  $\bar{f}$  is injective: if  $\bar{f}([z]) = \bar{f}([w])$ , then  $e^{2\pi iz} = e^{2\pi iw}$  if and only if  $z - w \in \mathbb{Z}$ , i.e.  $[z] = [w]$ . To show surjectivity, pick for any  $w \in \mathbb{C}\setminus\{0\}$  a local branch  $\log$  of the logarithm near  $w$ . Then with  $z = \frac{1}{2\pi i} \log w$  it holds that  $e^{2\pi iz} = w$ , hence  $w = \bar{f}([z])$ . Since different choices of the branch of  $\log$  differ by  $2\pi ik$  with  $k \in \mathbb{Z}$ , the class  $[z]$  does not depend on the branch and is well defined. We conclude that  $\bar{f}$  is bijective and holomorphic. We will later prove that a bijective holomorphic map between Riemann surfaces is automatically a biholomorphism. To make the inverse explicit, we give a local holomorphic inverse map to  $\bar{f}$ . For  $w \in \mathbb{C}\setminus\{0\}$ , pick a domain  $U \subset \mathbb{C}\setminus\{0\}$  containing  $w$  on which a holomorphic branch  $\log_U$  exists. We define

$$g : U \rightarrow \mathbb{C}/\mathbb{Z}, \quad g(w) = \left[ \frac{\log_U w}{2\pi i} \right].$$

The map  $g$  is holomorphic on  $U$  and  $\bar{f} \circ g = \text{id}_U$ . Thus,  $\bar{f}$  locally admits a holomorphic inverse map, and these glue to a global holomorphic inverse.

**Exercise 2.** Prove that the unit disk  $\mathbb{D} = \{z \in \mathbb{C} : |z| < 1\}$  and the upper half-plane  $\mathbb{H} = \{z \in \mathbb{C} : \Im(z) > 0\}$  are biholomorphic.

**Solution 2.** Define  $f(z) = i\frac{1+z}{1-z}$  and  $g(w) = \frac{w-i}{w+i}$ . Note that  $f$  is holomorphic on  $\mathbb{D}$  and  $g$  is holomorphic on  $\mathbb{H}$ . For  $w = x + iy$  with  $y > 0$  we have

$$\left| \frac{w-i}{w+i} \right|^2 = \frac{x^2 + (y-1)^2}{x^2 + (y+1)^2} < 1,$$

because  $(y+1)^2 > (y-1)^2$  when  $y > 0$ . Thus  $g(\mathbb{H}) \subset \mathbb{D}$ . If  $|z| < 1$ , then

$$\Im \left( i \frac{1+z}{1-z} \right) = \frac{1-|z|^2}{|1-z|^2} > 0.$$

Hence  $f(\mathbb{D}) \subset \mathbb{H}$ . One can check that  $f$  and  $g$  are mutual inverses by direct computation. Therefore  $g : \mathbb{H} \rightarrow \mathbb{D}$  is a biholomorphism.

**Exercise 3.** (challenging)

Let  $\mathcal{S} = \{f : \mathbb{D} \rightarrow \mathbb{C} \text{ holomorphic, injective, } f(0) = 0, f'(0) = 1\}$ .

(a) Show that the map

$$k : \mathbb{D} \rightarrow \mathbb{C} \setminus (-\infty, -1/4], \quad z \mapsto \frac{z}{(1-z)^2}$$

is a biholomorphism and  $k \in \mathcal{S}$ .

- (b) If  $f(z) = z + \sum_{n \geq 2} a_n z^n \in \mathcal{S}$ , prove that  $|a_2| \leq 2$  and that equality holds for the function  $k$ .
- (c) Prove that every  $f \in \mathcal{S}$  satisfies  $B_{1/4}(0) \subset f(\mathbb{D})$  and that the constant  $1/4$  cannot be increased.

*Remark.* The Bieberbach conjecture, proved in 1985 by de Branges, states that if  $f(z) = z + \sum_{n \geq 2} a_n z^n \in \mathcal{S}$ , then  $|a_n| \leq n$  for all  $n \geq 2$ .

**Solution 3.** Look up a proof on the internet.

**Exercise 4.** Let  $X$  and  $Y$  be Riemann surfaces. Assume that  $X$  is connected and compact.

- (a) Let  $f : X \rightarrow Y$  be a holomorphic and non-constant map. Show that  $f$  surjects onto a compact connected component of  $Y$ .
- (b) Deduce from (a) that every holomorphic map  $f : X \rightarrow \mathbb{C}$  is constant.
- (c) Deduce from (a) the fundamental theorem of algebra: a non-constant complex polynomial has at least one root.

**Solution 4.**

- (a) Since  $f$  is holomorphic and non-constant, the open mapping theorem implies that  $f(X)$  is open. Because  $f$  is continuous and  $X$  compact, the image  $f(X)$  is compact, hence also closed because  $Y$  is Hausdorff. As  $X$  is connected,  $f(X)$  is connected. Let  $C$  be the connected component of  $Y$  containing  $f(X)$ . As  $f(X)$  is nonempty and both open and closed, this forces  $f(X) = C$ .
- (b) The existence of a non-constant holomorphic map  $f : X \rightarrow \mathbb{C}$  contradicts part (a) since  $\mathbb{C}$  is not compact.
- (c) A polynomial  $p$  determines a holomorphic map  $F : \mathbb{C}\mathbb{P}^1 \rightarrow \mathbb{C}\mathbb{P}^1$  by

$$F(z) = p(z) \text{ on } \mathbb{C}, \quad F(\infty) = \infty.$$

This map is non-constant and holomorphic. Part (a) implies that it is onto, hence  $0 \in \text{Im}(F)$ , that is, there exists  $z \in \mathbb{C}$  with  $p(z) = 0$ .

**Exercise 5.** Let  $\Omega \subseteq \mathbb{C}$  be an open subset of the complex plane. A meromorphic function on  $\Omega$  can be defined as a function  $f$  which is holomorphic outside a discrete subset  $S = \{p_i, i \in I\} \subseteq \Omega$  and admits the following Laurent expansion in a neighborhood of  $p_i$  ( $i \in I$ ):

$$(1) \quad f(z) = \frac{a_{-N}}{(z - p_i)^N} + \dots + \frac{a_{-1}}{z - p_i} + a_0 + a_1(z - p_i) + \dots$$

- (1) Show that a meromorphic function on  $\Omega$  is the same as a holomorphic function  $\Omega \rightarrow \mathbb{C}\mathbb{P}^1$  (which is not identically  $\infty$  on any connected component).
- (2) A function of the form  $R(z) = P(z)/Q(z)$ , where  $P$  and  $Q$  are polynomials, is called a rational function. Show that a rational function defines a meromorphic function on  $\mathbb{C}\mathbb{P}^1$ .
- (3) Prove that every meromorphic function on  $\mathbb{C}\mathbb{P}^1$  is rational.

**Solution 5.** (1) Let  $f$  be a meromorphic function on  $\Omega \subseteq \mathbb{C}$  with set of poles  $S$ . We extend  $f : \Omega \setminus S \rightarrow \mathbb{C}$  to  $\bar{f} : \Omega \rightarrow \mathbb{P}_{\mathbb{C}}^1$  by setting  $\bar{f}(p) = \infty$  for  $p \in S$ . This defines a holomorphic map. Indeed, in a neighborhood of  $p \in S$ , by (1) we have

$$\frac{1}{f(z)} = \frac{(z - p)^N}{a_{-N} + a_{-N+1}(z - p) + \dots}.$$

This function is holomorphic on a punctured neighborhood of  $p$  and has a zero of order  $N$  at  $p$ . Therefore it extends holomorphically across  $p$ .

Conversely, let  $g : \Omega \rightarrow \mathbb{P}_{\mathbb{C}}^1$  be holomorphic and not constantly equal to  $\infty$ . Then  $S := g^{-1}(\infty) \subseteq \Omega$  is discrete. The function  $g : \Omega \setminus S \rightarrow \mathbb{C}$  is holomorphic. Near

any  $p \in S$ , the function  $1/g$  is holomorphic. Hence for any  $p \in S$ , there exists a neighborhood  $U_p$ , an integer  $N_p \geq 1$ , and a holomorphic map  $h_p$  on  $U_p$  with  $h_p(p) \neq 0$  such that

$$\frac{1}{g(z)} = (z-p)^{N_p} h_p(z) \quad \text{for } z \in U_p$$

It follows that  $g(z)$  has a pole of order  $N_p$  at  $p$ , i.e. it admits a Laurent expansion of the prescribed form.

- (2) As a quotient of two holomorphic functions,  $R$  defines a meromorphic function on  $\mathbb{C}$ . Hence  $R$  yields a holomorphic map  $R : \mathbb{C} \rightarrow \mathbb{CP}^1$ . We extend  $R$  to  $\bar{R} : \mathbb{CP}^1 \rightarrow \mathbb{CP}^1$  by setting  $\bar{R}(\infty) = \lim_{z \rightarrow \infty} P(z)/Q(z)$ . If we denote by  $a$  (resp.  $b$ ) the leading coefficients of  $P$  (resp.  $Q$ ), then we can write

$$\bar{R}(\infty) = \begin{cases} 0 & , \text{ if } \deg(R) < 0 \\ \frac{a}{b} & , \text{ if } \deg(R) = 0 \\ \infty & , \text{ if } \deg(R) > 0 \end{cases}$$

One can check that these values correspond to  $P(1/z)/Q(1/z)$  evaluated at 0. Hence  $\bar{R}$  is holomorphic and thus defines a meromorphic function on  $\mathbb{CP}^1$ .

- (3) Let  $f : \mathbb{CP}^1 \rightarrow \mathbb{CP}^1$  be a holomorphic map, which is not constantly equal to  $\infty$ . Consider the discrete set  $S = f^{-1}(\infty) \subseteq \mathbb{CP}^1$ . Since  $\mathbb{CP}^1$  is compact,  $S$  is finite. Let the finite poles be  $\{p_1, \dots, p_m\} \in \mathbb{C}$  with orders  $N_j$  and write their principal part as

$$(P_{p_j} f)(z) = \sum_{k=1}^{N_j} \frac{a_{j,k}}{(z-p_j)^k}.$$

In the neighborhood of  $\infty$  we use the local coordinate  $u = 1/z$  and then we have the Laurent expansion

$$f(1/u) = \sum_{k=-N_\infty}^{\infty} a_{\infty,k} u^k$$

for some  $N_\infty \geq 0$ . The terms with negative powers of  $u$  correspond to the polynomial

$$P(z) = \sum_{k=1}^{N_\infty} a_{\infty,-k} z^k.$$

Now we set

$$R(z) = \sum_{j=1}^m (P_{p_j} f)(z) + P(z);$$

this is a rational function. The function  $g(z) = f(z) - R(z)$  is by construction holomorphic on  $\mathbb{CP}^1$ , and its image lies in  $\mathbb{C}$ . By Exercise 3, a holomorphic function  $\mathbb{CP}^1 \rightarrow \mathbb{C}$  is constant. Hence we conclude that  $f$  is given by a rational function.